2016 AHA Annual Survey Information Technology Supplement Health Forum, L.L.C.

Please return to: AHA Annual Survey Information Technology Supplement 155 N. Wacker Chicago, IL 60606

HOSPITAL NAME: _.	
CITY & STATE:	

Please Note: This year we continue to include new questions designed to capture your current level of adoption and gain insights in the context of the US Department of Health and Human Service's Meaningful Use initiative. This information will provide important data on the state of health IT in hospitals as relating specifically to the goals of the program.

GENERAL INSTRUCTIONS: Please respond to each of the following questions as of the day the survey is completed.

1. Does your hospital currently have a computerized system which allows for:

Fully implemented means it has completely replaced paper record for the function.)	(1) Fully Implemented Across <u>ALL</u> Units	Fully Implemented in <u>at least</u> one Unit	(3) Beginning to Implement in at least one Unit	(4) Have Resources to Implement in the next year	(5) Do Not have Resources but Considering Implementing	(6) Not in Place and Not Considering Implementing
Electronic Clinical docume	entation			,		
a. Patient demographics						
b. Physician notes						
c. Nursing notes						
d. Problem lists						
e. Medication lists						
f. Discharge summaries						
g. Advanced directives (e.g. DNR)						
Results Viewing						
a. Laboratory reports						
b. Radiology reports						
c. Radiology images						
 d. Diagnostic test results (e.g. EKG report, Echo report) 						
e. Diagnostic test images (e.g. EKG tracing)						
f. Consultant reports						
Computerized Provider Order	Entry (Provider	(e.g., MD, APN, I	NP) directly ent	ters own orders	that are transmitte	ed electronically)
a. Laboratory tests						
b. Radiology tests						
c. Medications						
d. Consultation requests						
e. Nursing orders						

(Fully implemented means it has completely replaced paper record for the function.)	(1) Fully Implemented Across <u>ALL</u> Units	(2) Fully Implemented in <u>at least</u> <u>one</u> Unit	(3) Beginning to Implement in at least one Unit	(4) Have Resources to Implement in the next year	(5) Do Not have Resources but Considering Implementing	(6) Not in Place and Not Considering Implementing
Decision Support						
 a. Clinical guidelines (e.g. Beta blockers post-MI, ASA in CAD) 						
b. Clinical reminders (e.g. pneumovax)						
c. Drug allergy alerts						
d. Drug-drug interaction alerts						
e. Drug-lab interaction alerts						
 f. Drug dosing support (e.g. renal dose guidance) 						
Bar Coding or Radio Freque	ency Identification	on (RFID) for Clo	sed-loop Med	ication Trackir	ng	
a. Medication administration						
b. Patient verification						
c. Caregiver verification						
d. Pharmacy verification						
Other Functionalities						
 a.Bar coding or Radio Frequency (RFID) for supply chain management 						
b. Telehealth						
c. Remote patient monitoring						

Meaningful Use Functionalities

2. Does your hospital currently have a computerized system which allows for: Electronic Clinical Documentation & Population Health Management Yes Do Not Know No a. Identify and provide patient-specific education resources **Medication Management** a. Compare a patient's inpatient and preadmission medication lists b. Check inpatient prescriptions against an internal formulary c. Prescribe (eRx) discharge medication orders electronically d. Provide an updated medication list at time of discharge **Automated Quality Reporting** a. Automatically generate hospital-specific meaningful use quality measures by extracting data from an EHR without additional manual processes b. Automatically generate Medicare Inpatient Quality Reporting program measures for a full Medicare inpatient update c. Automatically generate physician-specific meaningful use quality measures calculated directly from the EHR without additional manual processes **Public Health Reporting** a. Submit electronic data to immunization registries/information systems on an ongoing basis per meaningful use standards b. Submit electronic data on reportable lab results to public health agencies on an ongoing basis per meaningful use standards c. Submit electronic syndromic surveillance data to public health agencies on an ongoing basis per meaningful use standards d. Submit specialized data registry reports to public health agencies on an ongoing basis per meaningful use standards Other Functionalities a. Conduct or review a security risk analysis and implement security updates as necessary

3.	Aı	re patients treated in your hospital able to do the following:	Yes	No	Do Not Know
	a.	View their health/medical information online			
	b.	Download information from their health/medical record			
	c.	Electronically transmit (send) transmission of care/referral summaries to a third party			
	d.	Request an amendment to change/update their health/medical record			
	e.	Request refills for prescriptions online			
	f.	Schedule appointments online			
	g.	Pay bills online			
	h.	Submit patient-generated data (e.g., blood glucose, weight)			
	i.	Secure messaging with providers			
	j.	Designate family member or caregiver to access information on behalf of the patient (e.g., proxy access)			
			Yes	No	Do Not Know
4.	be	pes your hospital require patients to provide any additional information eyond username and password in order to login and electronically access eir health information?			

Note: Additional information might include photo identification, security questions/answers, or numeric token or security code

Health Information Exchange Functionalities

5.	Which of the following patient data does your hospital electronically exchange/share with one or more of the provider types listed below? Check all that apply across a row.								
			With Hospitals Inside of Your Syste	Ho Ou	With espitals tside of Your ystem	With Ambulatory Providers Inside of Your System	With Ambulato Provider Outside o Your Syste	s Do No of	ot Know
	a.	Patient demographics						[
	b.	Laboratory results							
	C.	Medication history							
	d.	Radiology reports							
	e.	Clinical/Summary care record in any format						I	
	This	next section asks further deta	ail about se	ending a	nd/or rece	eiving summ	ary care red	cords.	
6.		en a patient transitions to anothe pital <u>routinely</u> <u>send and/or receiv</u>							your
				Se	nd Rece	eive Neither send n receive	or know		
	a.	Mail or fax] []	
	b.	eFax using EHR		-] []	
	C.	Secure messaging using EHR (vi other secure protocol)	a DIRECT or] []	
	d.	Provider portal (i.e., post to portal from portal)		L] []	
	e.	Via health information exchange of other third party	organization (or [3	
	f.	Interface connection with other El	HRs] []	
7.	rout	en a patient transitions to or from inely <u>electronically send and/or r</u> t, CCDA or CCD) with the followin	eceive (NOT	eFax) a	summary o	f care record	in a <u>structur</u>		
			Electroi Most/All	nically <u>S</u> Some	end_To Few/None		cally <u>Receive</u> Some	<u>e</u> From… Few/None	Do Not Know
	a.	Other Hospitals outside your system							
	b.	Ambulatory Care Providers outside your system							
	C.	Long-term and Post-Acute Care Facilities							
	d.	Behavioral Health Facilities							

8a.	Does your EHR integrate any type of clinical information received <u>electronically (not eFax)</u> from providers or sources outside your hospital system/organization <u>without the need for manual entry?</u> This could be done using software to convert scanned documents into indexed, discrete data that can be integrated into EHR.
	Yes, routinely Yes, but not routinely No Do Not Know NA
	<u> </u>
8b.	If <u>yes</u> , does your EHR integrate the information contained in summary of care records received <u>electronically</u> (<u>not eFax</u>) without the need for manual entry? This could be done using software to convert scanned documents into indexed, discrete data that can be integrated into EHR.
	☐ Yes, routinely ☐ Yes, but not routinely ☐ No ☐ Do not know ☐ NA
	This next section asks further detail about other aspects of exchange and interoperability.
9.	Do providers at your hospital <u>query</u> electronically for patients' health information (e.g. medications, outside encounters) from sources <u>outside</u> of your organization or hospital system?
	Yes No, but do have the capability No, don't have capability Do Not Know
10.	Do providers at your hospital <u>routinely have</u> necessary clinical information available <u>electronically</u> (not e-Fax) from <u>outside</u> providers or sources when treating a patient that was seen by another health care provider/setting?
	☐ Yes ☐ No ☐ Do not know
11a	. How frequently do providers at your hospital <u>use</u> patient health information received <u>electronically (not e-Fax)</u> from <u>outside</u> providers or sources when treating a patient?
	☐ Often ☐ Sometimes ☐ Rarely ☐ Never ☐ Don't Know
	Content of Sometimes of Ralely of Never of Don't Know
111	o. If rarely or never used, please indicate the reason(s) why. Check all that apply.
	1. Information not always available when needed (e.g. not timely)
	2. Do not trust accuracy of information
	3. Difficult to integrate information in EHR
	4. Information not available to view in EHR as part of clinicians' workflow
	5. Information not presented in a useful format (e.g. too much information, redundant, or unnecessary information)
	6. Other
12a	a. When a patient visits your Emergency Department (ED), do you <u>routinely</u> provide <u>electronic notification</u> to the patient's <u>primary care physician</u> ?
	Yes No, but do have the capability No, don't have capability Do not know Do not have ED
12	b. If yes, are <u>electronic notifications</u> provided to primary care physicians below? (Check <i>all</i> that apply)
	☐ Inside system ☐ Outside system ☐ Do not know
13.	. Please indicate your level of participation in a state, regional, and/or local health information exchange (HIE) or health information organization (HIO).
	a. HIE/HIO is operational in my area and we are participating and actively exchanging data in at least one HIE/RHIO
	b. HIE/HIO is operational in my area but we are not participating
	c. HIE/HIO is not operational in my area
	d Do not know

This next section asks about barriers to exchange and interoperability.

14.	Which of the following issues has your hospital experienced when trying to <u>electronically</u> (<u>not</u> eFax) send, receive or find (query) patient health information to/from other care settings or organizations? (Check <i>all</i> that apply)
	a. We lack the technical capability to electronically <u>send</u> patient health information to outside providers or other sources
	b.
	c. Providers we would like to electronically send patient health information to, do <u>not</u> have an EHR or other electronic system with capability to receive the information
	d. Providers we would like to electronically send patient health information to have an EHR; however, it lacks th technical capability to receive the information
	e. \square Many recipients of our electronic care summaries (e.g. CCDA) report that the information is not useful
	f. \square Cumbersome workflow to send (not eFax) the information from our EHR system
	g. \square Difficult to match or identify the correct patient between systems
	h. \square Difficult to locate the address of the provider to send the information (e.g. lack of provider directory)
	i. \square Experience greater challenges exchanging (e.g. sending/receiving data) across different vendor platforms
	j. \square We don't typically share our patient data with care settings/organizations outside our system
	k. The complexity of state and federal privacy and security regulations makes it difficult for us to determine whether it is permissible to electronically exchange patient health information.
	I. \square We have to pay additional costs to send/receive data with care settings/organizations outside our system
	If 14I is yes, please indicate the source(s) of those additional costs below (check all that apply)
	1. Your EHR vendor
	2. The recipient's EHR vendor
	3. An intermediary that enables the sending or receiving of data (e.g. HISP, HIE, Direct Trust, NATE)
	4. Other source not listed above:
15	HIPAA generally permits providers to electronically share patient health information for treatment of patients they have in common without written authorization from the patient.
	To what extent do you believe this aspect of the law is widely understood by providers? Urry widely Somewhat widely Not very widely
	☐ Not at all ☐ Uncertain

EHR System and IT Vendors

10.	biometrics)?	inchi carrenty	Support an	iiii asti actai	c for two factor t	dutientioution (e.g. tokens of
	☐ Yes	□ No		o not know		
17.	Do you possess an objectives of Meani		nat has been	certified as	meeting federal	requirements for the hospital
	☐ Yes	□ No	□ D	o not know		
18.	When does your ho	spital expect t	o complete t	he switch to	a 2015 certified l	EHR system?
	Never					
	_	already switched	d to a 2015 e	dition of certif	ied EHR	
	∐ By March					
	_	April 1 st , 2017 a July 1 st , 2017 ar				
	After Janu		id December	314, 2017		
	Uncertain	ia.y 1 , 2010				
20a.	a. A mix of prob. Primarily one. C. Self-develop Which vendor below "Primary" is defined a the single largest interest.	ducts from difference vendor sed w provides you as the system the	rent vendors or <u>primary in</u> on at is used for	oatient EMR/ the largest n	EHR system? Chumber of patients	or the system in which you have made
	☐ Allscrip	ts/Eclipsys	☐ CPSI		Cerner	NextGen
	Epic		☐ MEDHO	ST	Allscripts	Healthland
	☐ McKes	son	Meditech		_	Athenahealth
	☐ Eviden		Self-deve	•	☐ Prognosis	☐ MedWorx
	_	please specify)				
	□ Would	prefer not to dis	close			
20b.	EMR/EHR system?	? "Primary" is de	fined as the	system that is	used for the large	pove) for your <u>primary outpatient</u> est number of patients or the system in vendor name rather than product.
	□Yes		□No	□Do r	not Know	□na
21.	Overall, how satisf	ied or dissatist	fied are you	with your EH	R system?	
	☐ Very sa	atisfied		Somewhat sa	tisfied	Neither satisfied nor dissatisfied
	☐ Somew	hat dissatisfied		Very dissatis	fied	

	•		MR/EHR system (noted a	
Ш	MedFX	Intersystems	☐ Harris	☐ Surescripts
	Medicity	☐ Truven Analytics	☐ Mirth	Relay Health
	Orion Health	☐ Inpriva	☐ Care Evolution	Optum/Axolotl
	MaxMD	Covinst	☐ ICA	
	MedAllies	Microsoft	Certify Data Sy	ystems
	Corepoint Health	Latric Systems	Orchard Softw	are Corporation
	SCC Soft Computer	r Sunquest Informa	ation Systems	
	Other (please specif	·y)		
L	Do not exchange pa	tient health information e	electronically	uld prefer not to disclose HIE vendor
1871 -				ID/EUD and an arithin the most 40 march
	nat cnanges, if any, a neck <i>all</i> that apply.	ire you planning for you	ur <u>primary inpatient</u> Ew	IR/EHR system within the next 18 month
a.	☐ Initial deployme	ent		
b.	☐ Major change in			
c.	☐ Change from e	nterprise architecture to	best-of-breed	
d.	☐ Change from be	est-of-breed to enterprise	e architecture	
e.	☐ Significant addi	itional functionalities		
f.	Do not know			
g.	☐ No major chang	ges planned		
		be) the primary challeng or meaningful use? Cho		in EMR/EHR system that meets the
	☐ Unfront capital	costs/lack of access to c	anital to install systems	
	_	f maintaining and upgrad		
a.	ongoing cook o		ang eyeteme	
a. b.	Obtaining physi	ician cooperation		
a. b. c.	Obtaining physi	·		
a. b.	Obtaining other	r staff cooperation	rivacy breaches	
a. b. c. d.	Obtaining other Concerns abou	r staff cooperation at security or liability for p	-	
a.b.c.d.e.	Obtaining other Concerns abou	r staff cooperation It security or liability for pout certification requirements.	-	
a.b.c.d.e.f.	Obtaining other Concerns abou Uncertainty abou Limited vendor	r staff cooperation It security or liability for pout certification requirements capacity	-	ition/maintenance
a. b. c. d. e. f.	Obtaining other Concerns abou Uncertainty abou Limited vendor Lack of adequa	r staff cooperation It security or liability for pout certification requirementariation capacity It atte IT personnel in hospit	ents al to support implementa	ition/maintenance n implementation timeframe

Which vendor(s) below does your hospital directly use to electronically exchange patient health

22.

electronic system in your hospital to: Check <i>all</i> that apply.
a. \square Create a dashboard with measures of organizational performance
b. Create a dashboard with measures of unit-level performance
c. Create individual provider performance profiles
d.
e. Assess adherence to clinical practice guidelines
f. Identify care gaps for specific patient populations
g. Generate reports to inform strategic planning
h. D Support a continuous quality improvement process
i. Monitor patient safety (e.g., adverse drug events)
j. Identify high risk patients for follow-up care using algorithm or other tools
k. None of the above

Please indicate whether you have used electronic clinical data from the EHR or other

25.

Definitions

Question 5 – Electronic Exchange Electronic exchange of patient healthcare information refers to exchanging of data through non-manual means, such as EHRs and/or portals, and excludes fax/paper.

Question 5 – Inside/Outside System Hospitals and ambulatory providers inside your system refer to those affiliated with your integrated delivery system/network. Hospitals and ambulatory providers outside your system refer to those unaffiliated with your integrated delivery system/network.

Question 7 – Continuous Care Records (CCR) Continuous care record standard enables a patient health summary to be created, read, and interpreted by any HER/EMR software application.

Question 7 – Continuous Clinical Document Architecture (CCDA) Continuous clinical document architecture is an XML-based markup standard intended to specify the encoding, structure, and semantic of clinical document for exchange.

Question 7 – Continuous Care Documentation (CCD) Continuous care documentation is an HL7 Clinical Document Architecture implementation of the Continuous Care Record.

, ,	ooperation in completing this survey. If you are gy, has he or she reviewed your answers to this	· · · · · · · · · · · · · · · · · · ·
☐ Yes	□ No	

(Area Code) Telephone #

Date of Completion	Name of CIO (if other than respondent)	Email Address

Respondent Name (please print) Circle CIO or Print Title if other

NOTE: PLEASE PHOTOCOPY THIS INFORMATION FOR YOUR HOSPITAL FILE BEFORE RETURNING THE ORIGINAL FORM TO THE AMERICAN HOSPITAL ASSOCIATION.

THANK YOU