FINAL

2010 AHA Annual Survey Information Technology Supplement Health Forum, L.L.C.

Please return to: AHA Annual Survey Information Technology Supplement 155 N. Wacker Chicago, IL 60606

Please Note: This year we continue to include new questions designed to capture your current level of adoption and gain insights in the context of the US Department of Health and Human Service's Meaningful Use initiative. This information will provide important data on the state of health IT in hospitals as relating specifically to the goals of the program.

GENERAL INSTRUCTIONS: Please respond to each of the following questions as of the day the survey is completed.

1a. Does your hospital currently have a computerized system which allows for:

(Fully implemented means it has completely replaced paper record for the function.)	(1) Fully Implemente d Across <u>ALL</u> Units	(2) Fully Implemented in <u>at least</u> <u>one </u> Unit	(3) Beginning to Implement in <u>at least one</u> Unit	(4) Have Resources to Implement in the next year	(5) Do Not have Resources but Considering Implementing	(6) Not in Place and Not Considering Implementing
Electronic Clinical Documentation	onits		onn		implementing	
a. Patient demographics						
b. Physician notes						
c. Nursing notes						
d. Problem lists						
e. Medication lists						
f. Discharge summaries						
g. Advanced directives (e.g. DNR)						
Results Viewing						
a. Laboratory reports						
b. Radiology reports						
c. Radiology images						
d. Diagnostic test results (e.g. EKG report, Echo report)						
e. Diagnostic test images (e.g. EKG tracing)						
f. Consultant reports						
Computerized Provider Order Entry (Provider (e.g., MD, APN, NP) directly enters own orders that are transmitted electronically)						
a. Laboratory tests						
b. Radiology tests						
c. Medications						

(Fully implemented means it has completely replaced paper record for the function.)	(1) Fully Implemente d Across <u>ALL</u>	(2) Fully Implemented in <u>at least</u> <u>one</u> Unit	(3) Beginning to Implement in <u>at least one</u>	(4) Have Resources to Implement in the next year	(5) Do Not have Resources but Considering	(6) Not in Place and Not Considering Implementing
Computerized Provider Order Entry(Provider (e.g., MD, APN, NP) directly enters own orders that are transmitted electronically) (cont)	Units		Unit		Implementing	
d. Consultation requests						
e. Nursing orders						
Decision Support						
a. Clinical guidelines (e.g. Beta blockers post-MI, ASA in CAD)						
b. Clinical reminders (e.g. pneumovax)						
c. Drug allergy alerts						
d. Drug-drug interaction alerts						
e. Drug-lab interaction alerts						
 f. Drug dosing support (e.g. renal dose guidance) 						
g. Implement drug formulary checks						
Bar Coding						
a. Laboratory specimens						
b. Tracking pharmaceuticals						
c. Pharmaceutical administration						
d. Patient ID						
Other Functionalities						
a. Telemedicine						
b. Radio Frequency ID						
c. Physician use of personal data assistant (PDA)						
d. Review and update of Privacy and Security Measures						

Additional Functionalities Specifically Relating to Meaningful Use

1b. Does your hospital currently have a computerized system which allows for: *(Fully implemented means it has completely replaced paper record for the function.)*

(Fully implemented means it has completely replaced paper record for the function.)	(1) Fully Implemented Across <u>ALL</u> Units	(2) Fully Implemented in <u>at least one</u> Unit	(3) Beginning to Implement in <u>at least one</u> Unit	(4) Have Resources to Implement in the next year	(5) Do Not have Resources but Considering Implementing	(6) Not in Place and not Considering Implementing
Electronic Clinical Documentation Do the functionalities of your computerized system include patients':				,		
a.Gender and date of birth						
b.Race						
c .Ethnicity						
d.Preferred language for communication with providers of care						
 e. Vital signs (height, weight, blood pressure, BMI, growth charts) 						
f. Height and weight and BMI displayed						
g .Smoking status using standard format ¹						
h.Comprehensive list of allergies (including medication allergies)						
i. Summary care record for relevant transitions of care ²						
j. Identify and provide patient- specific education resources						

¹ **Smoking Status** The federal regulation for meaningful use specifies that one of the following six categories for at least 50 percent of patients age 13 or older admitted to an eligible hospital's inpatient and/or emergency department must be recorded electronically: current every day smoker; current some day smoker; former smoker; never smoker; smoker, current status unknown; and unknown if ever smoked.

² Summary Care Record The Centers for Medicare & Medicaid Services (CMS) define a transition of care as the movement of a patient from one setting of care (hospital, ambulatory primary care practice, ambulatory, specialty care practice, long-term care, home health, rehabilitation facility) to another. The federal regulation for Meaningful Use specifies that for more than 50 percent of transitions of care or referrals, eligible hospitals must provide a summary care record using specified vocabulary, clinical documentation, and transmission standards and including, at a minimum, diagnostic tests results, problem list, medication list, and medication allergy list.

2. Do you currently have an electronic system that allows you to do the following?

Pati	ent/Medication Lists	Yes	Νο	Do Not Know
	Develop a list of a patient's current medications			
b.	Compare a patient's inpatient and preadmission medication lists			
C.	Provide an updated medication list at time of discharge			
d.	Check inpatient prescriptions against an internal formulary			
e.	Generate lists of patients by condition			
f.	Perform medication reconciliation			
Lab	Results			
g.	Incorporate lab results as structured data for more than 40 percent of patients admitted to inpatient or emergency departments			
Pub	lic Health Reporting			
h.	Submit electronic data to immunization registries or Immunization Information Systems per meaningful use standards ³			
i.	Submit electronic data on reportable lab results to public health agencies per meaningful use standards ³			
j.	Submit electronic syndromic surveillance data to public health agencies per meaningful use standards ³			
k.	Submit electronic data on reportable lab results to public health agencies per meaningful use standards ³			
Qua	lity Reporting			
I.	Automatically generate HQA (Hospital Quality Alliance) measures for a full Medicare inpatient prospective payment system update.			
m.	Automatically generate hospital-specific meaningful use quality measures by extracting data from an electronic record without additional manual processes			
n.	Automatically generate physician-specific meaningful use quality measures calculated directly from the EHR without additional manual processes			

³ *Public Health Reporting* Hospitals must perform at least one test of certified EHR technology's capacity to submit the public health data specified above, and hospitals must send a follow up submission if the test is successful (unless none of the recipients to which a hospital sends this information have the capacity to receive electronically). The vocabulary standard for the submission(s) is LOINC, and the transmission standard is HL7.

3. Do any <u>current</u> arrangements exist in your area to share electronic patient-level clinical data through an electronic health information exchange (HIE) or a regional health information organization (RHIO)?

 Arrangement(s) exist(s)
 (select any participation level in 3a)

 Arrangement(s) do(es) not exist(s)
 (select only second or third participation level in 3a)

3a. Please indicate your level of participation in a regional health information exchange (HIE) or regional health information organization (RHIO).

Participating and actively exchanging data in at least one HIE/RHIO

Have the electronic framework to participate but not participating in any HIE/RHIO at this time

Do not have the electronic framework to participate and not participating in any HIE/RHIO at this time

4. Does your hospital electronically exchange/share any of the following patient data with any of the providers listed below? (Check all that apply.)

		With Hospitals In Your System	With Hospitals Outside of Your System	With Ambulatory Providers Inside of Your System	Providers Outside of	
а.	Patient demographics					
b.	Clinical/Summary care record in any format					
C.	Clinical/Summary care record in Continuous Care Record (CCR) or Continuous Care Documentation (CCD) format					
d.	Laboratory results					
e.	Medication history					
f.	Radiology reports					
5.	What proportion of discharge summaries at to ambulatory care providers electronically or mail) using a standardized format (e.g. C CCR)?	(not fax	100-75%	74-50%	49-25% 24-1%	0%

6. When your patient is discharged, how long does it usually take to send a discharge summary to the ambulatory care providers so they have all of the information they need to continue managing the patient?

Less than 48 hours	2-4 days	5-14 days	15-30 days	More than 30 days	Rarely or never send information	Does not apply

Please Note: The remaining questions are new and designed to capture your current level of adoption and future plans in the context of meaningful use. Again, this information will help inform the government on the state of health IT in hospitals as relating specifically to meaningful use proposed standards.

7. Does your hospital use an EMR/EHR system(s)? Do not include billing/scheduling systems.

Yes, fully electronic
Yes, partially electronic
No
Do not know

EMR/EHR is defined as "Electronically originated and maintained clinical health information derived from multiple sources about an individual's health status and healthcare. An EHR replaces the paper medical record as the primary source of patient information."

8. If so, in what year did you first deploy an EMR/EHR? _____

Check for not applicable	

Deployed is defined as "going live with at least one major component of the EMR/EHR."

9. Do you currently have an electronic system that allows you to do the following?

		Yes	No	Do not know
a.	Check insurance eligibility			
b.	Submit claims electronically to both public and private payers			
c.	Send reminders to patients for pre-admission and/or follow-up care			
d.	Capture patient consents or authorizations electronically			

10a. Is your current system <u>capable of</u> providing patients with an electronic copy of their health information that includes <u>all of the following</u>: diagnostic test results, problem lists, medication lists, allergies, and discharge summaries within 3 business days?

Yes	Proceed to question 8a
No	Proceed to question 9
Do not know	Proceed question 9

10b. Through what mechanism(s) <u>are you currently providing</u> this electronic information? (Please check *all* that apply)

D PHR	
Patient portal	
Secure message	
USB drive or other physical device	
Other (Please List)
None None	

11. What are your plans to apply for a first year Medicaid EHR incentive payment to support adoption, implementation, or upgrading of certified EHR technology, and if so, in what federal fiscal year (FFY) will you apply?

Yes, in FFY 2011 (by Sep 30, 2011)
Yes, in FFY 2012 (by Sep 30, 2012)
Yes, in FFY 2013 (by Sep 30, 2013)
Yes, after FFY 2013
Not eligible for Medicaid incentives
Eligible, but not planning to apply
Do not know

Note: To receive a first year payment under Medicaid a hospital does NOT need to be a meaningful user. The hospital must, however, meet the Medicaid patient volume threshold, which is generally 10 percent (less for children's hospitals).

12. What are your plans to attest as a Meaningful User of certified EHR technology and if so, in what federal fiscal year (FFY) will you achieve meaningful use for the first time?

└ Yes, in FFY 2011 (by Sep 30, 2011)
Yes, in FFY 2012 (by Sep 30, 2012)
Yes, in FFY 2013 (by Sep 30, 2013)
Yes, by FFY 2015 (by Sep 30, 2015)
Not planning to attest
Not eligible for either Medicare or Medicaid EHR incentives
Do Not Know

Note: To qualify as a meaningful user for Medicare and Medicaid, a hospital must (1) possess EHR technology certified against all 24 objectives of meaningful use; (2) meet each of 14 "core" objectives of meaningful use, at least 1 public health objective, and at least 4 additional "menu set" objectives; and (3) report on each of 15 clinical quality measures generated directly from the certified EHR.

13. Do you possess an EMR/EHR system that has been certified as meeting the federal requirements for each of the 24 hospital objectives of Meaningful Use?

Tyes
Do Not Know
Not applicable, we do not have an EHR in place

federal	requirements for meaningful use? (Please check all that apply).
a.	Upfront capital costs/lack of access to capital to install systems
b.	Ongoing costs of maintaining and upgrading systems
C.	Obtaining physician cooperation
d.	Obtaining other staff cooperation
e.	Concerns about security or liability for privacy breaches
f.	Uncertainty about certification requirements
g.	Limited vendor capacity
h.	Lack of adequate IT personnel in the hospital to support implementation/maintenance
i.	Challenge/complexity of meeting all meaningful use criteria within implementation timeline
j.	Decision was/will be made at a system leadership level
k.	Other (specify)

14. What is/would be the primary challenge in implementing an EMR/EHR system that meets each of the

15. Of those selected from 12, please indicate the <u>single largest barrier</u> to reaching meaningful use. (*Please select letter corresponding to the barrier in 12 above*).

(Choose only one)	а	b	С	d	е	f	g	h	i	j	k

16. Which two specific proposed meaningful use criteria were or would be the most challenging to achieve? (*Please select only two*).

Implement	clinical	decision	support	(CDS)	rules
implement	unnour	000101011	Support	(000)	ruico

Implement computerized provider order entry (CPOE) at specified level of sophistication

	Exchange clinical	information	with other	providers

	Perform medication	reconciliation a	across settings	of care
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Generate	problem	lists	usina	codified	data	sets

- Generate numerator and denominator data for quality reporting directly from EHR
- Electronically submit required data on public health-related measures to state/other entities, including reportable lab results, immunizations, or syndromic surveillance.
- 17. Does your IT Department currently support an infrastructure for two factor authentication (e.g. tokens or biometrics)?

Yes
D No
Do not know

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18. On the whole, how would you describe your EMR/EHR system?

A mix of products from different vendors

Primarily one vendor

- Self-developed
- Not Applicable (go to question 20)

19a. Who provides your primary inpatient EHR/EMR system? (Please check only one)

"Primary" is defined as the system that handles the largest number of patients or the system in which you have made the single largest investment. Please answer based on vendor name rather than product.

Allscripts/Eclipsys		Cerner	eClinical Works
Epic	🗖 ge	HMS	Healthland
McKesson	Meditech	QuadraMed	□ Sage
Siemens	Self-develop	ed	
Other (please specify)	I		
Would prefer not to dis	sclose		
single largest investm Allscripts/Eclipsys Epic McKesson Siemens Other (please specify)	nent. Please answ CPSI GE Meditech Self-develop	er based on vendor Cerner HMS QuadraMed	number of patients or the system in which you have made the r name rather than product. eClinical Works Healthland Sage
Would prefer not to dis	sclose		

20. What changes, if any, are you planning for your EMR/EHR system within the next 18 months? (Check *all* that apply)

Initial deployment
Major change in vendor
Change from enterprise architecture to best-of-breed
Change from best-of-breed to enterprise architecture
□ Significant additional functionalities
Do not know
☐ No major changes planned

Thank you for your cooperation in completing this survey. If you are not the CIO or person responsible for information technology, has he or she reviewed your answers to this survey?

Yes No

Respondent Name (please print) Circle CIO or Print Title if other (Area Code) Telephone #

Contact Email Address

___/__/___ Date of Completion

Name of CIO (if other than respondent)

NOTE: PLEASE PHOTOCOPY THIS INFORMATION FOR YOUR HOSPITAL FILE BEFORE RETURNING THE ORIGINAL FORM TO THE AMERICAN HOSPITAL ASSOCIATION.

THANK YOU